



Please type and sign (+) inside this box



PTO/SB/08A (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |   |                          |                              |                        |                |
|---|---|--------------------------|------------------------------|------------------------|----------------|
| Substitute for form 1449A/PTO   |   | <b>Complete if Known</b> |                              |                        |                |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   | Application Number       | 09/866,979                   |                        |                |
|   |   | Filing Date              | May 29, 2001                 |                        |                |
|   |   | First Named Inventor     | Lustig, et al.               |                        |                |
|   |   | Group Art Unit           | N/A 3628                     |                        |                |
|   |   | Examiner Name            | Not Yet Assigned Nguyen, Nga |                        |                |
| Sheet   | 1 | of                       | 1                            | Attorney Docket Number | EUREKA 3.0-001 |

| U.S. PATENT DOCUMENTS |                       |                      |                                   |   |  |   |
|-----------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | U.S. Patent Document |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                       |                       | Number               | Kind Code <sup>2</sup> (if known) |   |  |   |
| mm                    | A                     | 5,905,974            |                                   | Fraser et al.                                   | 05/18/1999                                       |   |
| mm                    | B                     | 5,924,082            |                                   | Silverman et al.                                | 07/13/1999                                       |   |
| mm                    | C                     | 5,924,083            |                                   | Silverman et al.                                | 07/13/1999                                       |   |
| mm                    | D                     | 5,136,501            |                                   | Silverman et al.                                | 08/04/1992                                       |   |
|                       | E                     | 5,375,055            |                                   | Togher et al.                                   | 12/20/1994                                       |   |
|                       | F                     | 5,915,209            |                                   | Lawrence  | 06/22/1999                                       |   |
|                       | G                     | 5,845,266            |                                   | Lupien et al.                                   | 12/01/1998                                       |   |
|                       | H                     | 5,794,207            |                                   | Walker et al.                                   | 08/11/1998                                       |   |
|                       | I                     | 5,715,402            |                                   | Popolo  | 02/03/1998                                       |   |
|                       | J                     | 5,606,602            |                                   | Johnson et al.                                  | 02/25/1997                                       |   |
|                       | K                     | 5,689,652            |                                   | Lupien et al.                                   | 11/18/1997                                       |   |
|                       | L                     | 4,674,044            |                                   | Kalmus et al.                                   | 06/16/1987                                       |   |
|                       | M                     | 5,508,913            |                                   | Yamamoto et al.                                 | 04/16/1996                                       |   |
|                       | N                     | 5,727,165            |                                   | Ordish et al.                                   | 03/10/1998                                       |   |
|                       | O                     | 6,012,046            |                                   | Lupien et al.                                   | 01/04/2000                                       |   |
|                       | P                     | 6,014,627            |                                   | Togher et al.                                   | 01/11/2000                                       |   |
|                       | Q                     | 6,026,383            |                                   | Ausubel   | 02/15/2000                                       |   |
|                       | R                     | 6,035,289            |                                   | Chou et al.                                     | 03/07/2000                                       |   |
|                       | S                     | 6,058,379            |                                   | Odorn et al.                                    | 05/02/2000                                       |   |
| mm                    | T                     | 6,073,117            |                                   | Oyanagi et al.                                  | 06/06/2000                                       |   |

| FOREIGN PATENT DOCUMENTS |                       |                         |                     |                                   |   |  |   |                |
|--------------------------|-----------------------|-------------------------|---------------------|-----------------------------------|---|--|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>2</sup> |
|                          |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |   |  |   |                |
|                          | U                     |                         |                     |                                   |   |  |   |                |
|                          | V                     |                         |                     |                                   |   |  |   |                |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                       |  |                |
|---|-----------------------|--|----------------|
| Examiner Initials*                                | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|   | W                     |  |                |
|   | X                     |  |                |

|                    |                   |                 |         |
|--------------------|-------------------|-----------------|---------|
| Examiner Signature | <i>Nga Nguyen</i> | Date Considered | 12/1/05 |
|--------------------|-------------------|-----------------|---------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  |   |                          |                  |
|--|---|--------------------------|------------------|
| Substitute for form 1449A/B/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   | <b>Complete If Known</b> |                  |
|  |   | Application Number       | 09/866,979       |
|  |   | Filing Date              | May 29, 2001     |
|  |   | First Named Inventor     | Lustig et al.    |
|  |   | Art Unit                 | 2184 3628        |
|  |   | Examiner Name            | Not Yet Assigned |
|  |   | Attorney Docket Number   | EUREKA 3.0-001   |
| Sheet  | 1 | of                       | 1                |

RECEIVED

OCT 27 2003

Technology Center 2100

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| mm                    | AA                       | US-5,497,317                             | 03-05-1996                     | Hawkins, et al.                                    |   |
| mm                    | AB                       | US-5,717,989                             | 02-10-1998                     | Tozzoli, et al.                                    |   |
| mm                    | AC                       | US-5,842,178                             | 11-24-1998                     | Giovannoli   |   |
| mm                    | AD                       | US-6,112,189                             | 08-29-2000                     | Rickard et al.                                     |   |

| FOREIGN PATENT DOCUMENTS |                          |   |                                   |  |   |
|--------------------------|--------------------------|---|-----------------------------------|--|---|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |
|                          |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                   |  |   |
|                          |                          |   |                                   |  |   |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                          |   |  |  |
|---------------------------------|--------------------------|---|--|--|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |
| mm                              | CA                       | Elind "Online Global Trading System For Marketplaces"   |  |  |
| mm                              | CB                       | Brennan, P.J. Snafus Aside, Toronto Fires Up Electronic Pilot, Wall Street & Technology, January 1994, pp. 40.  |  |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.  
444719\_1.DOC

RECEIVED  
OCT 28 2003  
GROUP 3600

|                       |             |                    |         |
|-----------------------|-------------|--------------------|---------|
| Examiner<br>Signature | Ngan Nguyen | Date<br>Considered | 12/1/05 |
|-----------------------|-------------|--------------------|---------|